

Université Lille 3, 1/3/2013

UE Variation et Changement

# **Phonological Change**

**Roland Noske**

## Prelude: a change from Latin to French

Lat. *camera* > Fr. *chambre*

[kamera] > [ʃãbʁə] ~ [ʃãbʁ]

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**k** > **ʃ** (a velar plosive becomes a postalveolar fricative)

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**am** > **ã** (a vowel + a nasal consonant become a nasal vowel)

## Prelude: a change from Latin to French

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[kame**r**a] > [ʃãbʁə] ~ [ʃãbʁ]

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**e** > Ø (e is deleted)

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**a** > **ə**, **Ø** (a is reduced to ə or is deleted altogether)



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r > ʁ (apical r becomes a voiced uvular fricative)

a > ə, Ø (a is reduced to ə or is deleted altogether)

**All sounds in *camera* have changed!**

■ **sound change:**

- changes in **sounds**
- changes in the sound *system*

# Introduction

English
---------

brother
father
mother

# Introduction

English	Dutch
brother	broer
father	vader
mother	moeder

# Introduction

English	Dutch	German
brother	broer	bruder
father	vader	vater
mother	moeder	mutter

# Introduction

English	Dutch	German	Ancient Greek
brother	broer	bruder	bhrātēr
father	vader	vater	patēr
mother	moeder	mutter	mētēr

# Introduction

English	Dutch	German	Ancient Greek	Old Irish
brother	broer	bruder	bhrātēr	brathir
father	vader	vater	patēr	athir
mother	moeder	mutter	mētēr	mathir

# Introduction

English	Dutch	German	Ancient Greek	Old Irish	Latin
brother father mother	broer vader moeder	bruder vater mutter	bhrātēr patēr mētēr	brathir athir mathir	frāter pater māter



# Introduction

English	Dutch	German	Ancient Greek	Old Irish	Latin	Sanskrit
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*bhreǵtēr *pǵtēr *meǵtēr						

- **All languages belong to the Indo-European family**
  - **Once a single language**
  - **At least 4000 BC, perhaps in Southern Russia**

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bro <b>th</b> er fa <b>th</b> er mo <b>th</b> er	bro <b>e</b> r vade <b>r</b> moede <b>r</b>	brude <b>r</b> vate <b>r</b> mutte <b>r</b>	bhrā <b>t</b> ēr pat <b>ē</b> r mēt <b>ē</b> r	brath <b>ir</b> ath <b>ir</b> math <b>ir</b>	frā <b>t</b> er pate <b>r</b> mā <b>t</b> er	bhrā <b>tā</b> pitā mātā
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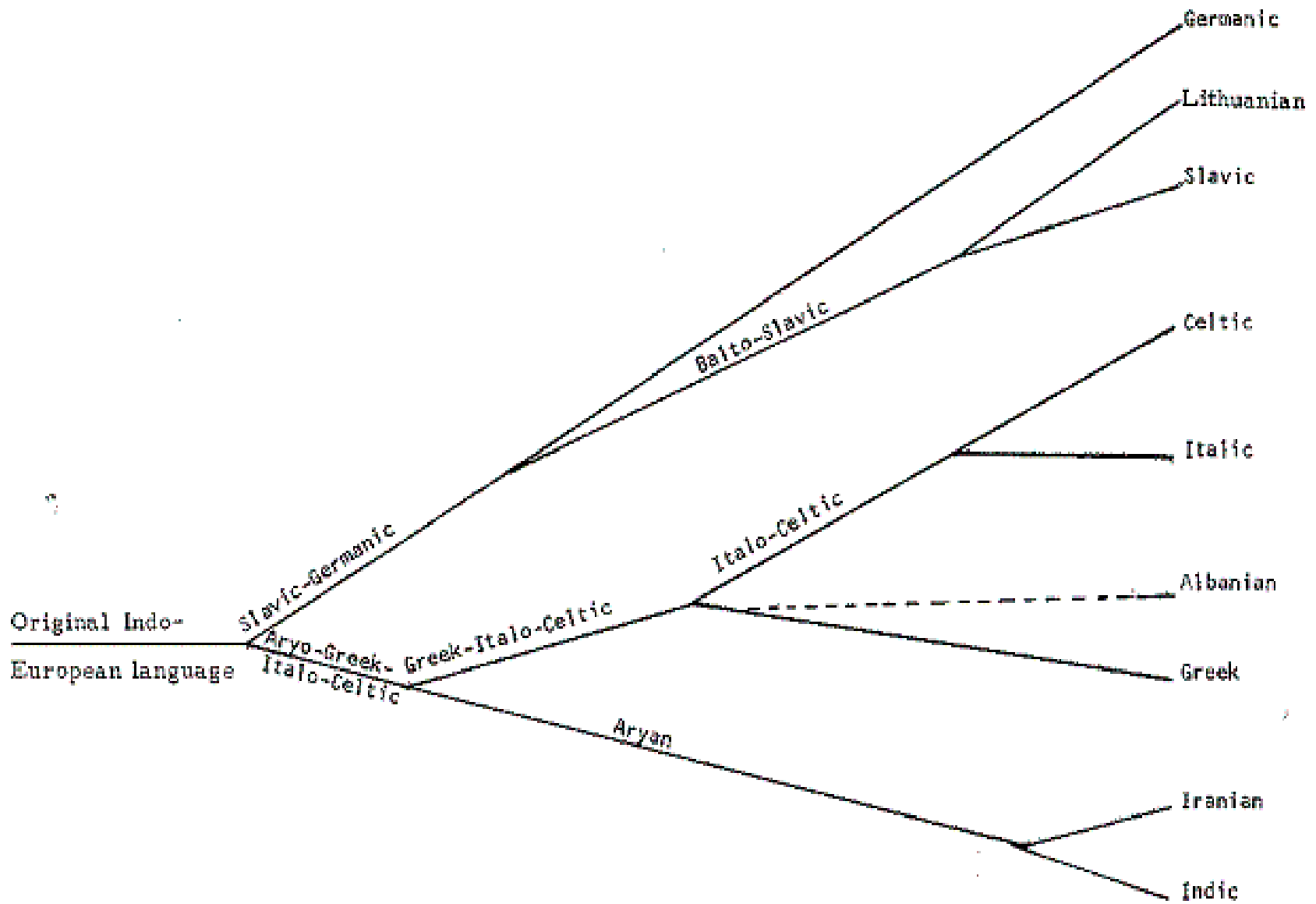
## Modern Indo-European Languages

- English month
- Welsh mis
- Gaelic mí
- French mois
- Spanish mes
- Portuguese mês
- Italian mese
- German Monat
- Dutch maand
- Swedish månad
- Polish miesiac
- Russian myesyats
- Greek minas
- Albanian muaj
- Lithuanian menuo
- Farsi mâh
- Hindi mahina

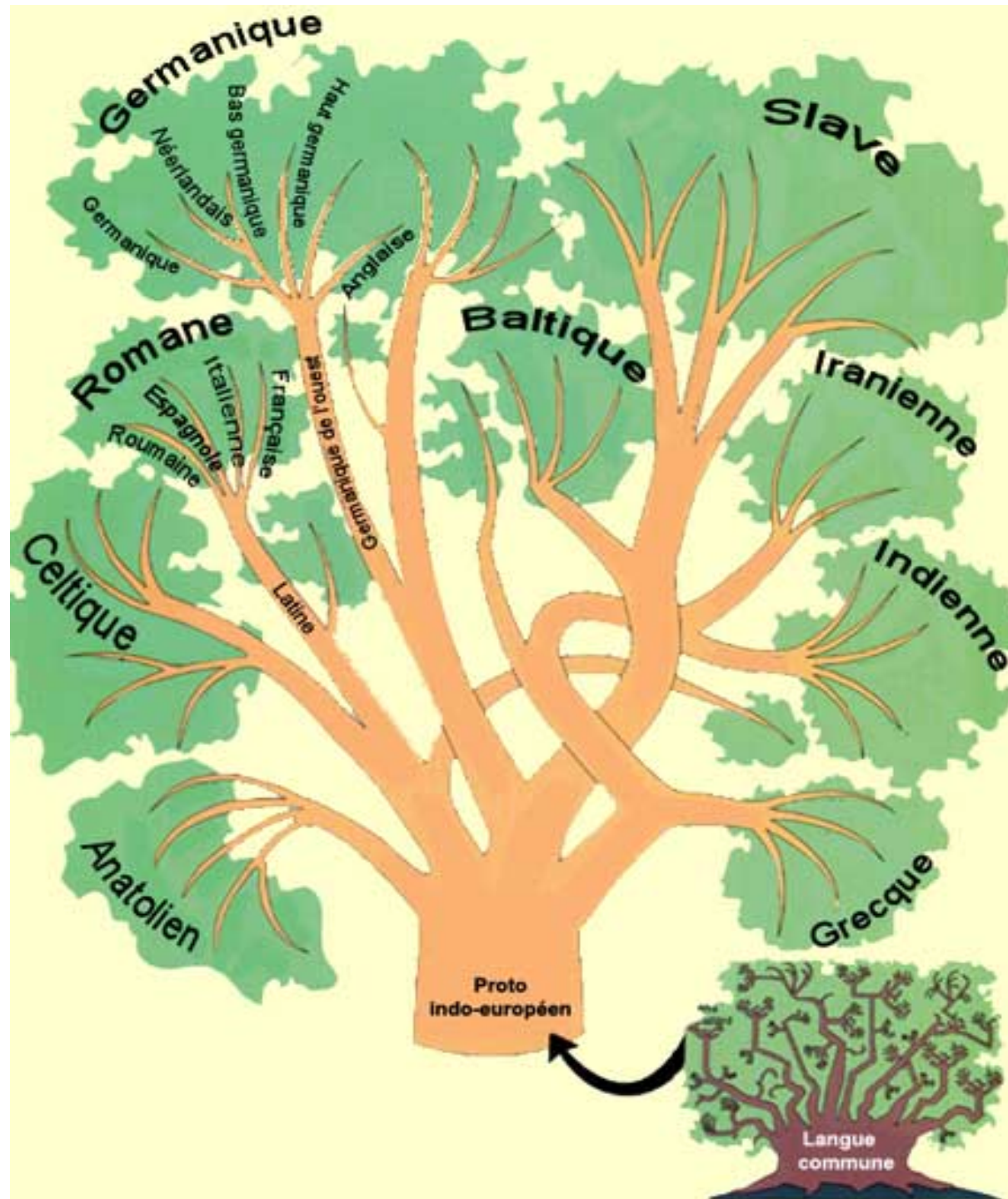
## Other Languages

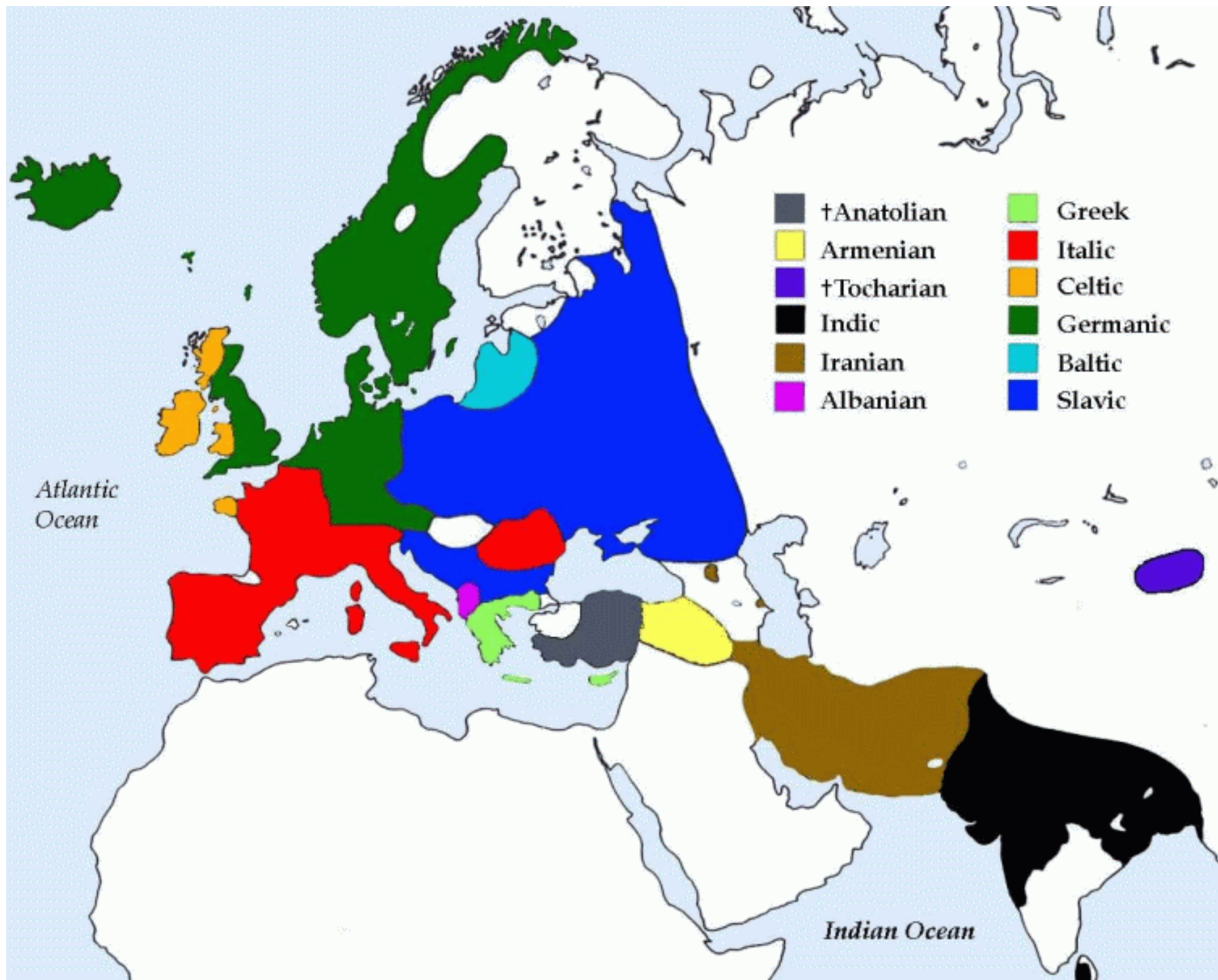
- Arabic shahr
- Finnish kuukausi
- Basque hilabete
- Turkish ay
- Chinese yue

# The family tree by Schleicher (1871)



# Indo-European language family





# Introduction

- separate **sound change** > separate **language**

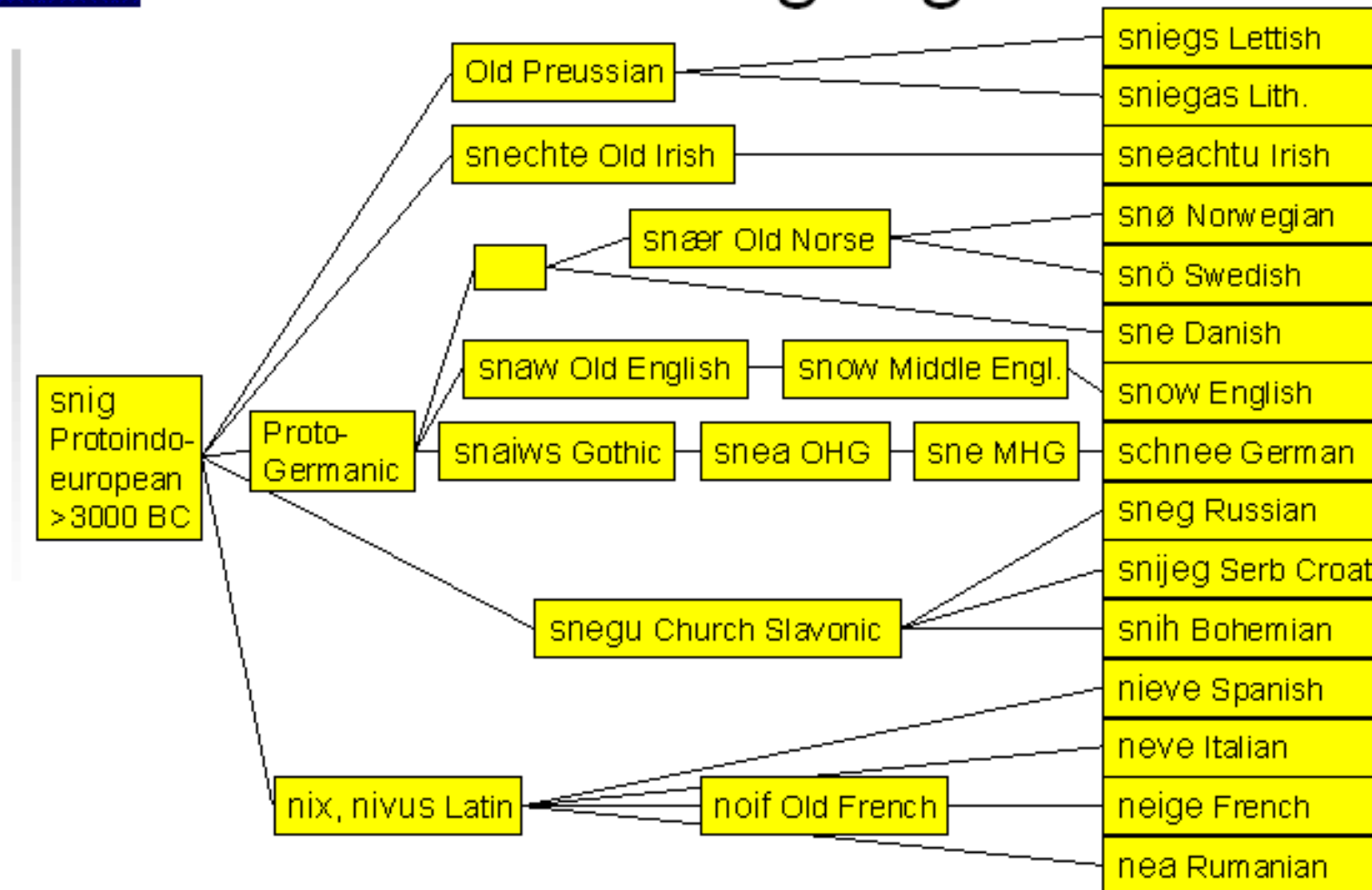


# Introduction

- separate **sound change** > separate **language**



## Evolution of language



# Sound Change

- What kind of processes are these?
  - considered by themselves
  - from the point of view of their context
  - in terms of activity of articulation

# Sound Change

- What kind of processes are these?
  - considered by themselves  
another place and/or mode of articulation
  - from the point of view of their context
  - in terms of activity of articulation

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- What kind of processes are these?
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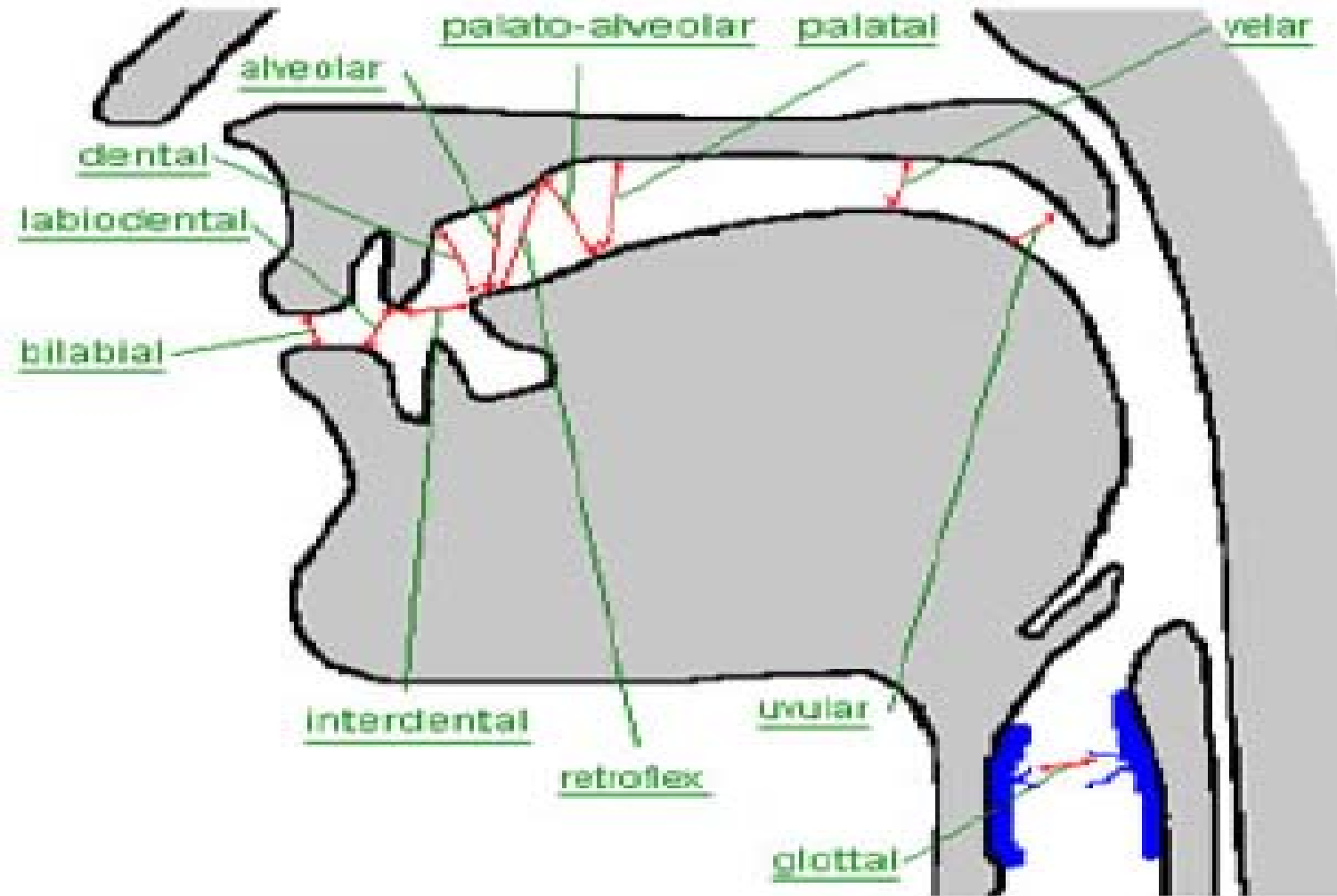
# Sound Change

- Change of place and/or mode of articulation
  - consonants: place and mode of obstruction in the air flow
  - vowels: position of speech organs (tongue, jaw, lips (corresponding to: front/back, high/low, rounded/unrounded))



# Sound Change

- Consonants




# Sound Change

- places of articulation of consonants :
  - lips                    p, b, m, ɸ, w  
                              f, v
  - teeth
  - alveolar ridge    t, d, s, z, n  
                              ʃ (**ch**at), ʒ (**j**oie)
  - palate                ɲ (**ag**neau), j (**y**es)
  - velum                k, g, ŋ, w
  - uvula                χ, ʁ
  - glottis                ʔ, h


# Sound Change

## ■ Consonants : **palatalisation**

- lips p, b, m, ɸ, w  
f, v
  - teeth
  - alveolar ridge t, d, s, z, n  
ʃ, ʒ
  - palate ɲ, j **[tʃ]**ild ((child) English)  
**[ç]**ind (Swiss German)
  - velum k, g, ŋ, w **k**ind (High German)
  - uvula χ, ʁ
  - glottis ʔ, h
- 

# Sound Change

## ■ Consonants : **palatalisation**

- lips p, b, m, ɸ, w  
f, v
  - teeth
  - alveolar ridge t, d, s, z, n  
ʃ, ʒ [ʃ]ambre (French)
  - palate ɲ, j
  - velum k, g, w [k]amera (Latin)
  - uvula χ, ʁ
  - glottis ʔ, h
- 


# Sound Change

## ■ Consonants : **palatalisation**

- lips                      p, b, m, ɸ, w  
                                    f, v
- teeth
- ↓  
• alveolar ridge      t, d, s, z, m                      depict (English)  
                                    ʃ, ʒ                                      picture (English)
- palate                      ɲ, j
- velum                      k, g, w
- uvula                      χ, ʁ
- glottis                      ʔ, h

# Sound Change


## ■ Consonants : **labialisation**



• lips	p, b, m, ɸ, w f, v	Lipp[ <u>m</u> ] (certain varieties of Germ.)
• teeth		
• alveolar ridge	t, d, s, z, n ʃ, ʒ	Lipp[ <b>ən</b> ] ('lips') (German)
• palate	ɲ, j	
• velum	k, g, w	
• uvula	χ, ʁ	
• glottis	ʔ, h	

# Sound Change

## ■ Consonants : **glottalisation**

- lips                      p, b, m, ɸ, w  
                                    f, v
  - teeth
  - alveolar ridge      t, d, s, z, n                      water (English)  
                                    ʃ, ʒ
  - palate                      ɲ, j
  - velum                      k, g, w
  - uvula                      χ, ʁ
  - glottis                      ʔ, h                      wa[ʔ]er (Cockney)
- 

# Sound Change

- Vowels:

FRONT

i/y

e/ø

ɛ/œ

ə

a

BACK

u CLOSE  
(HIGH)

o

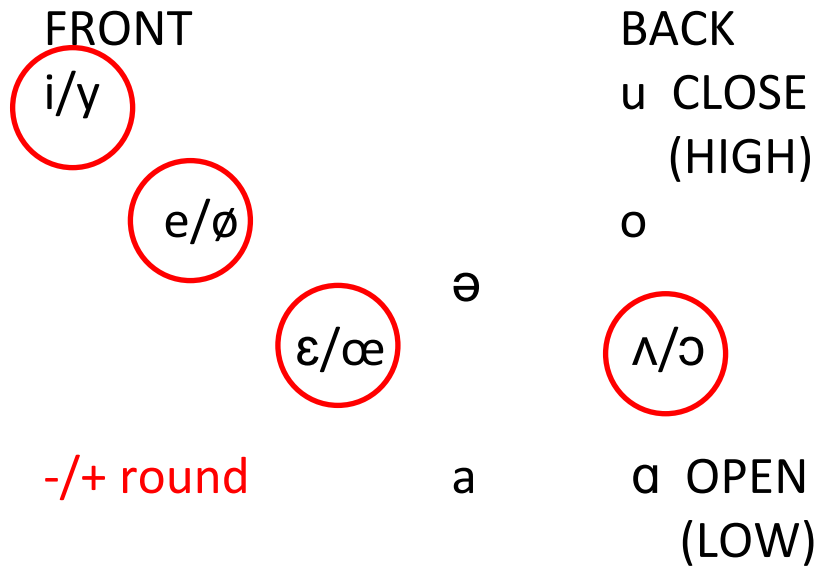
ʌ/ɔ

ɑ OPEN  
(LOW)



# Sound Change

- Vowels:

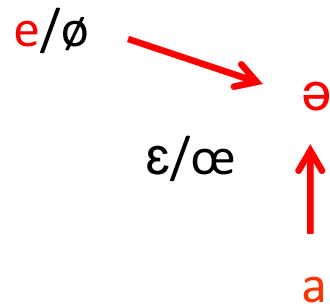


# Sound Change

- Vowels: **centralisation**

FRONT  
i/y

BACK  
u CLOSE  
(HIGH)



o  
Λ/ɔ

debēre (Lat.)  
chambr[ə], d[ə]voir (Fr.)

ɑ OPEN  
(LOW)

camera (Lat.)

# Sound Change

- Vowels: **fronting**

FRONT

i/y



BACK

u CLOSE

murus (Lat.) > m[y]r (Fr.)

e/ø

o

ə

ɛ/œ

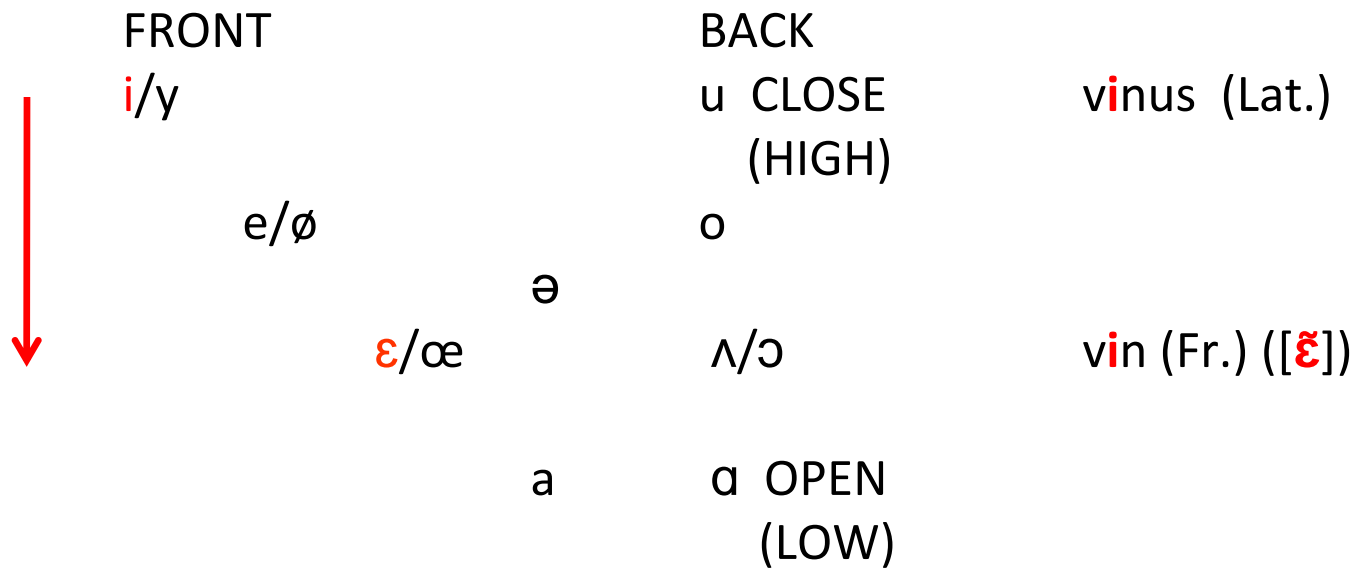
ʌ/ɔ

a

ɑ OPEN  
(LOW)

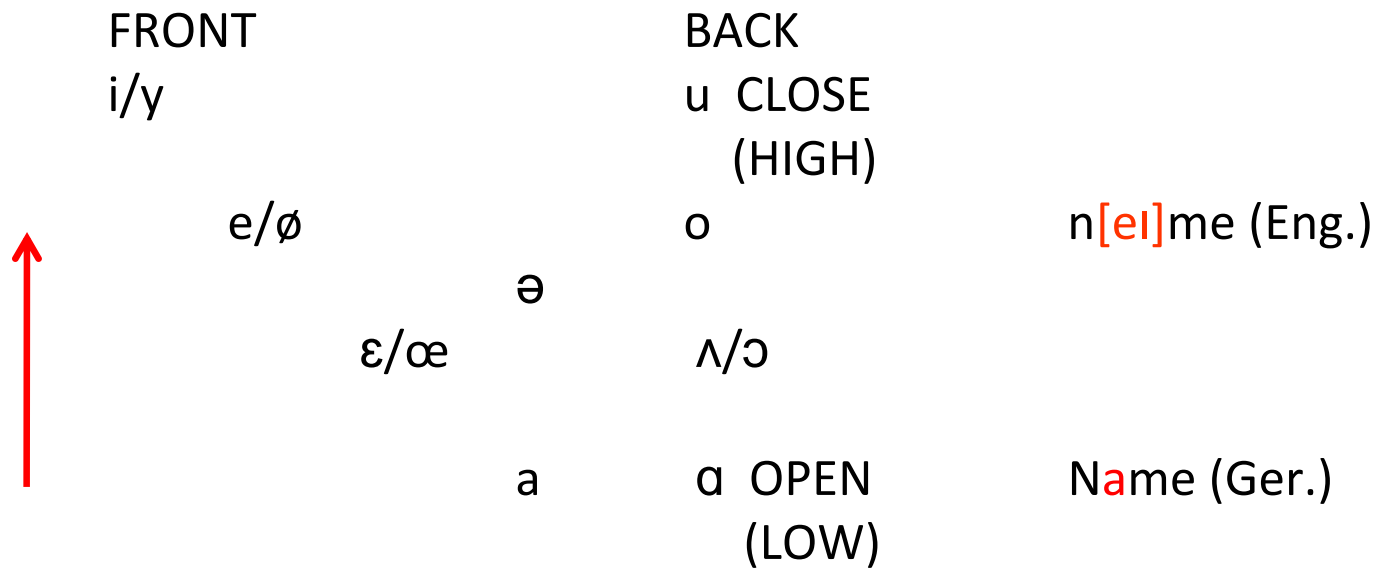
# Sound Change

- Vowels: **lowering**



# Sound Change

- Vowels: **raising**



# Sound Change

- Vowels: **unrounding**

FRONT

i/y

e/ø

ɛ/œ

ə

a

BACK

u CLOSE  
(HIGH)

o

ʌ/ɔ

ɑ OPEN  
(LOW)

\*kunni (OE) > k[y]nni > kin

# Sound Change

- What kind of processes are these?
  - considered by themselves  
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  - from the point of view of their context  
assimilation / dissimilation  
*this includes:* insertion and deletion
  - in terms of activity of articulation  
lenition / fortition

# Sound Change

- Assimilation/dissimilation

- assimilation

ex.:

ad + similation > a**s**similation

this is **total** assimilation



# Sound Change

- Assimilation/dissimilation
  - assimilation

**partial** assimilation: e.g. voicing assimilation

book + /z/ > book[s]  
tran/z/ + fer > tran[s]fer

# Sound Change

- Assimilation/dissimilation
  - **dissimilation**

ex.:

**february** > february

colonel > [kɔ:rnɛl]

Margarita > Margalita

Old Fr. couroir > Mod. Fr. couloir

# Sound Change

- Assimilation/dissimilation  
assimilation and dissimilation can be:
  - **anticipatory** (regressive):
    - ad + simulation > **a**ssimilation
    - tran/**z**/ + fer > tran[**s**]fer
    - cou**r**oir > cou**l**oir
  - **perseverative** (progressive):
    - book + /**z**/ > book[**s**]
    - Marg**a**rita > Marg**a**lita

# Sound Change

- Assimilation/dissimilation

this also includes:

**epenthesis** = excrescence (of a consonant),  
anaptyxis (of a vowel),

camera > \*camre > \*cam**b**re (> cham**b**re)

cf. Cam**b**rai (Kamerijk en néerl.)

scola > **e**scola (> **é**cole)

# Sound Change

- Assimilation/dissimilation

this also includes:

epenthesis = excrescence (of a consonant),  
anaptyxis (of a vowel),

**deletion** = apocope, aphaeresis

cam**e**ra > \*camre (> \*cambre > chambre)

cf. Kam**e**riijk (néerl.)

Oostend**e** > Ostend

stat**u**s (Lat.) > estat**u**s > etat**t** > [eta] (état)

# Sound Change

- Assimilation/dissimilation

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## metathesis

AAVE (African American Vernacular English):

was**sp** > wa**ps**, a**sk** > a**ks**

Grk. **k**ristos > Du. **k**erstmis (= **ch**ristmas)

OE th**r**id > Mod. E. th**i**rd

Old French fo**r**mage > Mod. Fr. fo**r**mage

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# Sound Change

- lenition/fortition

**lenition** (weakening):

less effort during articulation

**degemination** Lat. cu**pp**a > Sp. co**p**a

Eng. i**mm**ature > i[**m**]ature



# Sound Change

- lenition/fortition

**lenition** (weakening):

less effort during articulation

degemination

**spirantisation**

[**k**]amera > [t**ʃ**]ambre > [**ʃ**]ambre]

water > wa[**s**]er (Ger.)

# Sound Change

- lenition/fortition

**lenition** (weakening):

less effort during articulation

degemination

spirantisation

**glottalisation**

water > wa[ʔ]er (Cockney)

# Sound Change

- lenition/fortition

**lenition** (weakening):

less effort during articulation

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spirantisation

glottalisation

**nasalisation**

[k**am**era] > [ʃ**ǎ**bʌə]

# Sound Change

- lenition/fortition

**lenition** (weakening):

less effort during articulation

degemination

spirantisation

glottalisation

nasalisation

**voicing**

Lat. casa > It. ca[**z**]a

# Sound Change

- lenition/fortition

**fortition** (stenghtening, less frequent than lenition):

e.g. final devoicing (Dutch, German, Russian)

baard**d** > baar[**t**] ‘beard’ (plur. baar[**d**]en)

# Sound Change

(recap)

- These are processes through which sounds change, and by which after some time languages start to differ from each other.

# Sound Change

(recap)

- These are processes through which sounds change, and by which after some time languages start to differ from each other.
- Are these processes **regular**? I.e., do they apply to all words (given the appropriate context)?

# Sound Laws

- Observation: in general sound change does not take place word by word, but across the board.

Lat.		Fr.
[k]amera	>	[ʃ]ambre
[k]atus	>	[ʃ]at
[k]astellum	>	[ʃ]ateau

Neogrammarian doctrine: sound laws are without exceptions  
(However: actual sound change propagates through the lexicon)



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# Sound Laws

- Observation: in general, sound change does not take place word by word, but across the board.
- A sound change is a **rule**
- (i.e., input + context + output),  
which, if the input and the context are the same,  
produces the same output.

# Sound Laws

- Hence: historical sound change does not operate on a word-by-word basis
- A sound **change** is a '**law**'

# Sound Laws

- Hence: historical sound change does not operate on a word-by-word basis
- A sound **change** is a '**law**'

This is true, but look at the change **ka** > **ʃa** in French. It did not take place in the North:

Cambrai not: **Ch**ambrai

Calais not: **Ch**alais,

Cateau-Cambrésis not: **Ch**ateau-**Ch**ambrésis

Split between Picardian and other Gallo-Romance varieties

# Sound Laws

- **sound change:**
  - changes in sounds
  - **changes in the sound *system***

- A little bit of Chaucer

*Whan zephirus eek with his sweete breeth  
Inspired hath in every holt and heeth  
Tendre croppes, and the yonge sonne  
Hath in the ram his halve cours yronne,  
And smale foweles maken melodye,  
That slepen al the nyght with open ye*

- A little bit of Chaucer

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*Whan zephirus eek with his sweete **breeth***      **breath**  
*Inspired hath in every holt and **heeth***      **heath**  
*Tendre croppes, and the yonge sonne*  
*Hath in the ram his halve cours yronne,*  
*And smale foweles maken **melodye**,*      **melody**  
*That slepen al the nyght with **open ye***      **open eyes**



- A little bit of Chaucer

<i>Whan zephirus eek with his sweete <b>breeth</b></i>	<b>breath</b>	[ɛ]
<i>Inspired hath in every holt and <b>heeth</b></i>	<b>heath</b>	[i]
<i>Tendre croppes, and the yonge sonne</i>		
<i>Hath in the ram his halve cours yronne,</i>		
<i>And smale foweles maken <b>melodye</b>,</i>	<b>melody</b>	[i]
<i>That slepen al the nyght with <b>open ye</b></i>	<b>open eyes</b>	[ai]

- Sounds used to be different

*mayde, sayde*

*post, cost*

*hous, plenteous*

*cam, ram*

*goot, hoot*

*two, so*

*loude, kroude*

*wyn, Latyn*

maid, said

post, cost

house, plenteous

came, ram

goat, hot

two, so

loud, could

wine, Latin

- Sounds used to be different
  - Great English Vowel Shift

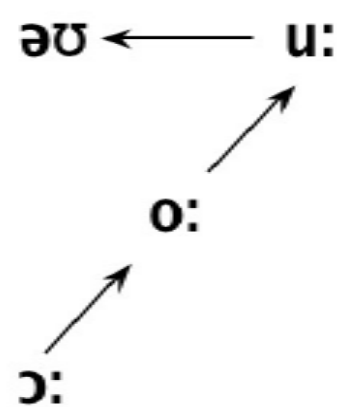
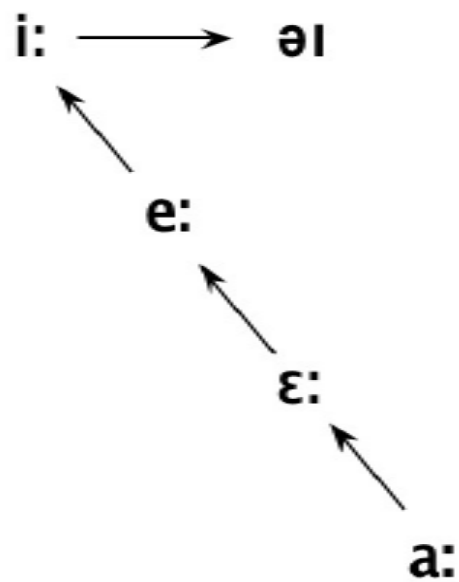
ride	geese	bead	name	goat	goose	down
[i:]	[e:]	[ɛ:]	[a:]	[ɔ:]	[o:]	[u:]
[ai]		[i:]	[e:]	[o:]	[u:]	[au]

- Sounds used to be different
  - Great English Vowel Shift
  - Normal changes by themselves

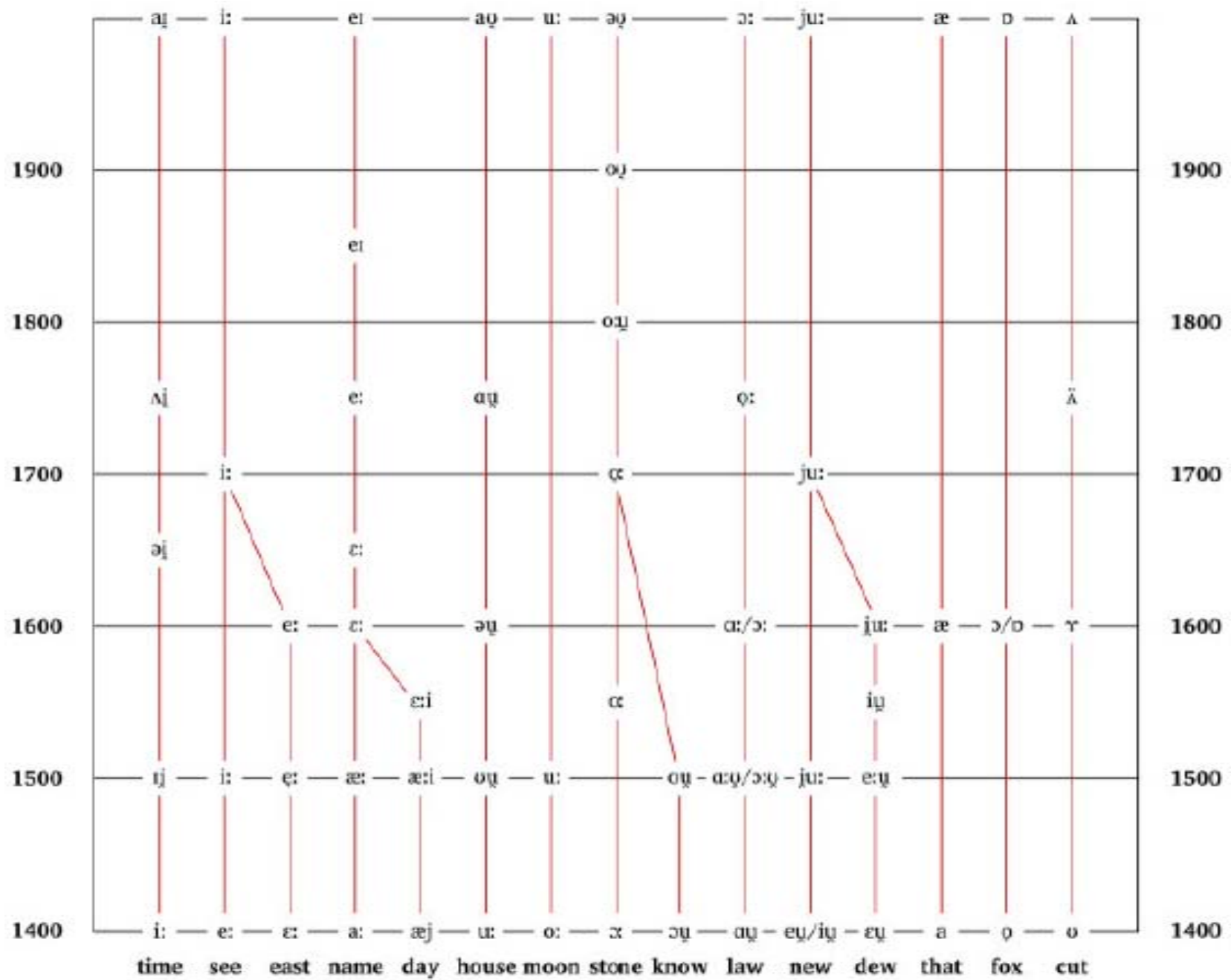
ride	geese	bead	name	goat	goose	down
[i:]	[e:]	[ɛ:]	[a:]	[ɔ:]	[o:]	[u:]
[ai]		[i:]	[e:]	[o:]	[u:]	[au]

- Sounds used to be different
  - Great English Vowel Shift
  - Normal changes by themselves
  - But now: consequences for the **entire sound system**

ride	geese	bead	name	goat	goose	down
[i:]	[e:]	[ɛ:]	[a:]	[ɔ:]	[o:]	[u:]
[ai]		[i:]	[e:]	[o:]	[u:]	[au]



ride	geese	bead	name	goat	goose	down
[i:]	[e:]	[ɛ:]	[a:]	[ɔ:]	[o:]	[u:]
[ai]		[i:]	[e:]	[o:]	[u:]	[au]



- change in the vowel system

Step 1: i and u drop and become əI and əU

Step 2: e and o move up, becoming i and u

Step 3: a moves forward to æ

Step 4: ε becomes e, ɔ becomes o

Step 5: æ moves up to ε

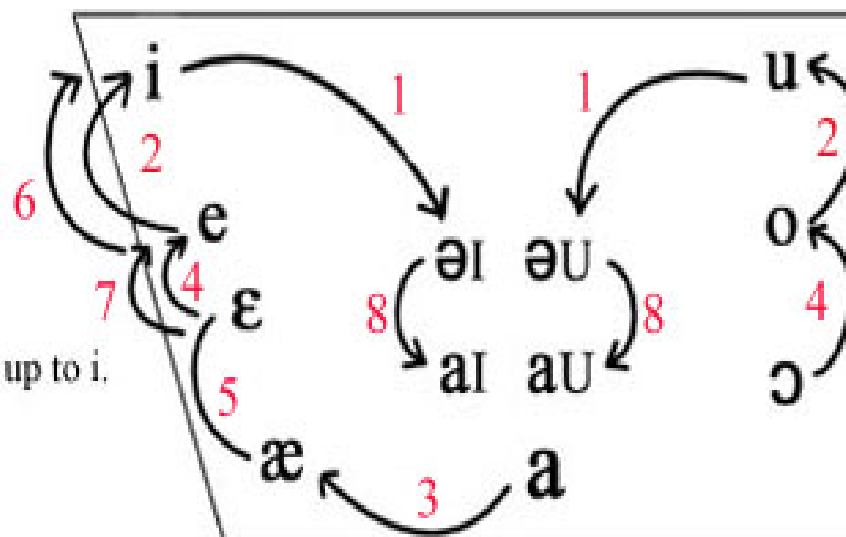
Step 6: e moves up to i

A new e was created in Step 4; now that e moves up to i.

Step 7: ε moves up to e

The new ε created in Step 5 now moves up.

Step 8: əI and əU drop to aI and aU





- **change in the vowel system**
  
- **general assumption:**
  1. **diphthongization of the high vowels**
  2. **mid vowels come into the liberated space**
  3. **secondary processes (father, etc.)**

## ■ chain shift

- it seems that the sound system as **a whole** has changed
- and that the shift is motivated by the **properties of the system**  
 (“fill up every free position”)

## ■ Symmetry

Dutch	labial	alveolar	velar
voiced	<b>p</b>	<b>t</b>	<b>k</b>
voiceless	<b>b</b>	<b>d</b>	---

## ■ Symmetry

Dutch	labial	alveolar	velar
voiced	<b>p</b>	<b>t</b>	<b>k</b>
voiceless	<b>b</b>	<b>d</b>	<b>(g)</b>

In loanwords **[g]** is generally maintained, because it fits nicely into the system:

**[g]**oal, grappa, gay, ect.

Sounds that do not fit easily in the system are changed, cf.

Fr. paraplu[**ʎi**] > paraplu [**paraply**]

## ■ Allophones become phonemes

- **primary split:**

an allophone coincides with an existing phoneme (merger)

Latin: allophone of /s/ tussen between vowels

flos  
'flower'

PL floses > flores  
'flowers'

➤ the sound system remains the same

## ■ Allophones become phonemes

- **secondary split:**  
an allophone becomes a new phoneme

Engl. allophone of /k/ before [i]/[e]

kinn > chin [tʃ]

keaff > cheaff [tʃ] 'balle' (de grain)

kat > cat [k]

## ■ Allophones become phonemes

- **secondary split:**  
an allophone becomes a new phoneme

Engl. allophone of /k/ before [i]/[e]

monophthongisation    ~~k~~eaft > ~~ch~~eaft    [tʃ]  
                                 kat    >    cat            [k]

## ■ Allophones become phonemes

- **secondary split:**  
an allophone becomes a new phoneme

Engl. allophone of /k/ before [i]/[e]


monophthongisation    keaff > **chaff**    [tʃ]  
                              kat    > **cat**        [k]


Now **[tʃ]** has become a phoneme



## ■ phonemes merge

recently:

Fr.                      pâte [pa:t]                                            [pat]  
                             patte [pat]

Fr.                      brin [bʁɛ̃]                                            [bʁɛ̃]  
                             brun [bʁœ̃]

## Grimm's law



Rasmus Rask ['ʁɑsmus ʁɑsɔ̃]  
(1787-1832)



Jacob Grimm ['ja:kɔp  
gRɪm] (1785-1863)

Grimm's law (Rask 1818, Grimm 1822 + later amendments):

**Act 1: voiceless plosives become spirantized** (ex. PIE > angl.):

p > f

t > θ

k > χ (h)

k<sup>w</sup> > χ<sup>w</sup>

\*pěd > foot

\*trej̥ > three

\*kāt- > hate

\*k<sup>w</sup>od > what

Grimm's law (Rask 1818, Grimm 1822 + later amendments):

**Act 1:** voiceless plosives become spirantized (ex. PIE > angl.):

$p > f$                        $t > \theta$                        $k > \chi$  (h)                       $k^w > \chi^w$   
*\*p<sub>ǣ</sub>d* > *foot*                      *\*trei<sub>̊</sub>* > *three*                      *\*kāt-* > *hate*                      *\*kuod* > *what*

**Act 2:** breathy voiced plosives become voiced fricatives:

$b^h > *β$                        $d^h > *ð$                        $g^h > *ɣ$                        $g^{wh} > *ɣ^w$

Stopping: “in addition, the resulting voiced fricatives tend to develop into voiced plosives” (Krahe/Meid 1969, § 62). Hence:

$b^h > *β > b$ ,                       $d^h > *ð > d$ ,                       $g^h > *ɣ > g$ ,                       $g^{wh} > *ɣ^w > g^w$

*\*b<sup>h</sup>rātēr* > brother                      *\*d<sup>h</sup>ugəter* > daughter                      *\*g<sup>h</sup>aidos* > goat                      *\*g<sup>wh</sup>ermos* > warm

Grimm's law (Rask 1818, Grimm 1822 + later amendments):

**Act 1:** voiceless plosives become spirantized (ex. PIE > Engl.):

p > f                      t > θ                      k > χ (h)                      k<sup>w</sup> > χ<sup>w</sup>  
\*p<sub>ě</sub>d > foot              \*trei<sub>̣</sub> > three      \*kāt- > hate              \*kuod > what

**Act 2:** breathy voiced plosives become voiced fricatives:

b<sup>h</sup> > \*β                      d<sup>h</sup> > \*ð                      g<sup>h</sup> > \*ɣ                      g<sup>wh</sup> > \*ɣ<sup>w</sup>  
\*b<sup>h</sup>rātēr > brother      \*d<sup>h</sup>ugəter > daughter      \*g<sup>h</sup>aidos > goat      \*g<sup>wh</sup>ermos > warm

**Act 3:** voiced plosives become voiceless:

b (rare!) > p                      d > t                      g > k                      g<sup>w</sup> > k<sup>w</sup>  
\*bend- > pen      \*dekm > ten      \*gelə- > cold      \*g<sup>w</sup>a- > come; kwamen (pret.,  
Du.)

Grimm's law (Rask 1818, Grimm 1822 + later amendments):

*Act 1: voiceless plosives become spirantized:*

$p > f$                        $t > \theta$                        $k > \chi$  (h)                       $k^w > \chi^w$

*Act 2: breathy voiced plosives become voiced fricatives:*

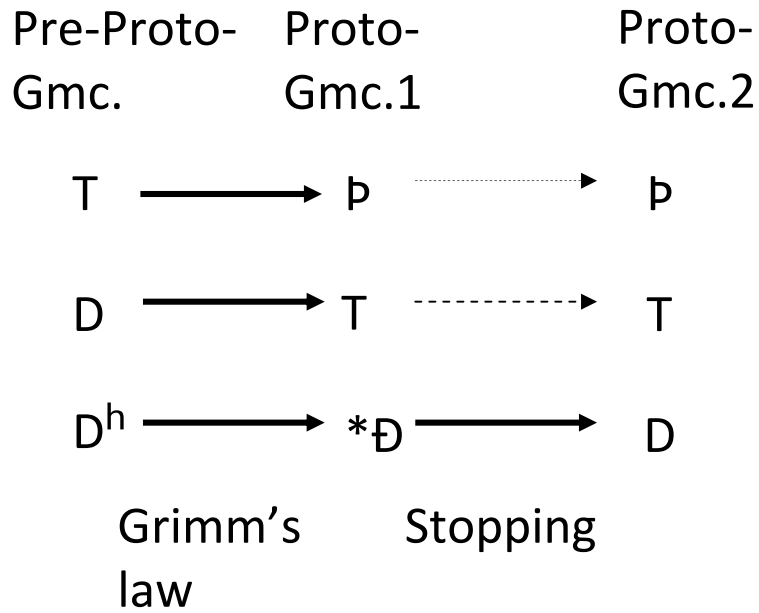
$b^h > * \beta$                        $d^h > * \delta$                        $g^h > * \gamma$                        $g^{wh} > * \gamma^w$

*Act 3: voiced plosives become voiceless:*

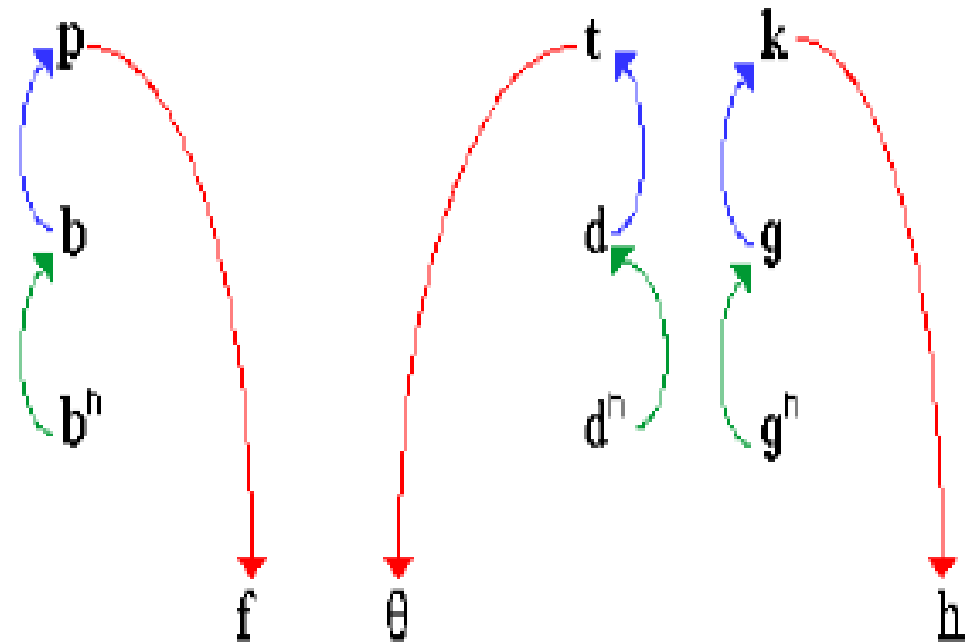
$b$  (rare!)  $> p$                        $d > t$                        $g > k$                        $g^w > k^w$

General pattern:  $T > \text{p}$ ;  $D^h > * \text{Ð} > D$ ;  $D > T$

# Recap (I )Grimm's law + stopping



## Grimm's Law



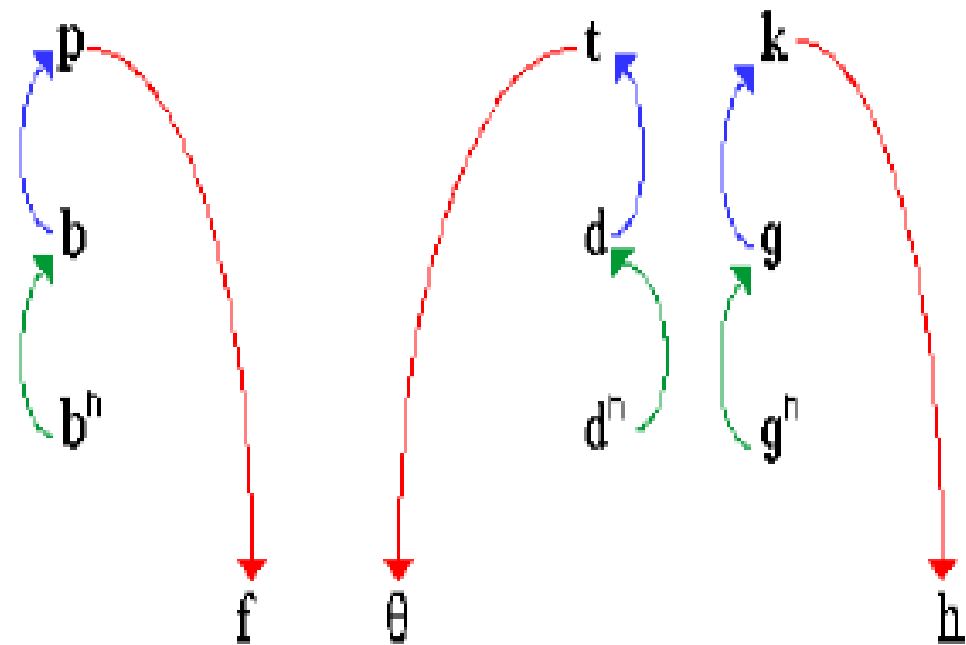
**voiceless stops --> voiceless fricatives**

**voiced stops --> voiceless stops**

**voiced aspirated stops --> voiced stops**

Like the Great English Vowel Shift, Grimm's Law is a **chain** shift

### Grimm's Law



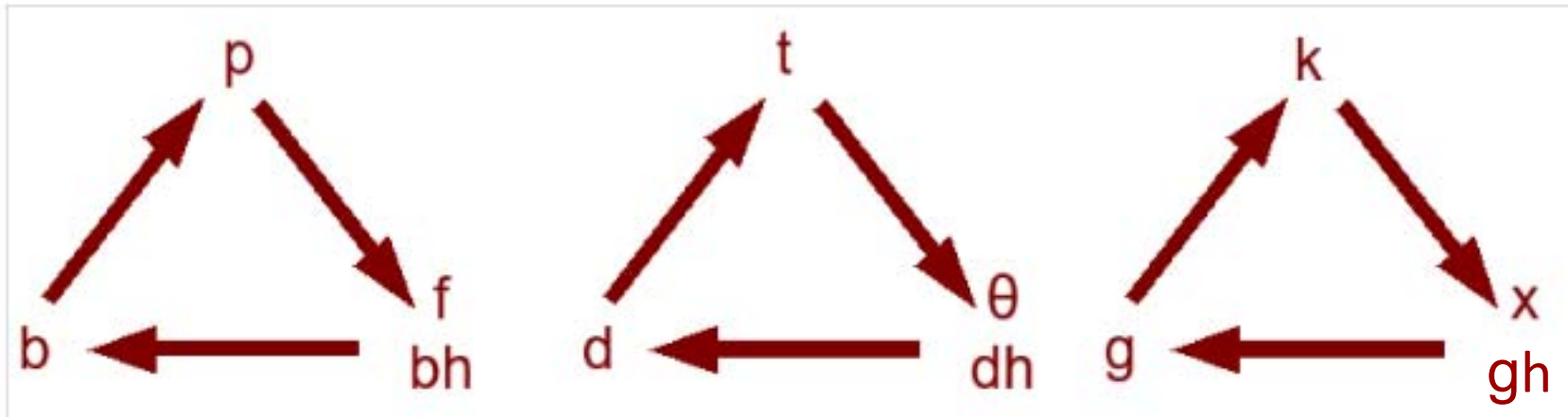
voiceless stops --> voiceless fricatives

voiced stops --> voiceless stops

voiced aspirated stops --> voiced stops



**Like the Great English  
Vowel Shift, Grimm's  
Law is a chain shift**



## Verner's law

Problem : *grammatischer Wechsel* 'alternance grammaticale' (Lottner 1862)

Original /\*p/ (no examples in the modern languages)

Old English *hebban - hōf hōfon hafan* ("to lift" cf. *heave*)

Original /\*t/ (survives in modern German)

Old English: *cwēpan (cwīþþ) cwæþ - cwædon cweden* ("to say": cf. *quoth*)

Old English: *sēoþan (sīeþþ) sēap - sudon soden* ("to boil" cf. *seethe*)

Modern German: *schneiden - schnitt geschnitten* ("to cut")

Original /\*k/ (survives in modern German and Dutch)

Modern German: *ziehen ziehe – zog gezogen* ("to pull")

Old English: *þeon (þīehþ) þāh - þigon þigen* ("to prosper" cf. German *gedeihen*)

Modern Dutch: *zien zie gezien - zag zagen* ("to see", Dutch lost intervocalic *h*)

Modern Dutch: *slaan sla - sloeg sloegen geslagen* ("to beat")



Karl Verner [ka(:?)| 'uae̞?nə]  
(1846-1896)

## Eine ausnahme der ersten lautverschiebung.

Im 11. bande dieser zeitschrift (s. 161—205) hat Lottner die ausnahmen der ersten lautverschiebung einer sorgsam durchmusterung unterzogen. Er untersuchte alle übergänge der indogermanischen explosivae (tenues, mediae und aspiratae), die sich dem schema

idg. <i>k</i>	=	germ. <i>h</i> ,	idg. <i>g</i>	=	germ. <i>k</i> ,	idg. <i>gh</i>	=	germ. <i>g</i>
<i>t</i>	=	<i>p</i> ,	<i>d</i>	=	<i>t</i> ,	<i>dh</i>	=	<i>d</i>
<i>p</i>	=	<i>f</i> ,	<i>b</i>	=	<i>p</i> ,	<i>bh</i>	=	<i>b</i>

zu entziehen scheinen, und es ergaben sich dem verstorbenen forschler hauptsächlich zwei kategorien von ausnahmen, abgesehen von den fällen, wo die nichtverschiebung durch gewisse consonantenverbindungen bedingt ist (idg. *sk*, *st*, *sp* = germ. *sk*, *st*, *sp*; idg. *kt*, *pt* = germ. *ht*, *ft*). Einerseits fand Lottner, dass *g*, *d*, *b* mitunter im germanischen unverschoben vorlagen, wie z. b. in goth. *gredus* »hunger« neben altind. *gr̥dh-yati* »er ist gierig nach«, goth. *daughtar* »tochter« neben altind. *duhitar* dss., goth. *bindan* »binden« neben altind. wz. *bandh* dss. u. a. Andererseits erschienen dieselben germanischen tönenden explosivae (*g*, *d*, *b*) in vielen fällen nicht als responsionen der indogermanischen aspiratae, wie zu erwarten wäre, sondern als responsionen der indogermanischen tonlosen explosivae (*k*, *t*, *p*), so z. b. in germ. *tegu-* »dekade«, das mit idg. *daśan* »zehn« zusammenhängt, in germ. *modar* = idg. *mātar*, ahd. *ebar* = lat. *aper*, goth. *hairand* »sie tragen« = altind. *bharanti* u. s. w.

Die erste klasse ausnahmen wurde aber bald nachher von Grassmann beseitigt. In seinem bekannten aufsatze im 12. bande dieser zeitschrift »Ueber das ursprüngliche vorhandensein von wurzeln, deren anlaut und auslaut eine aspirate enthielt«, weist

<i>Proto-Indo-European (PIE) (reconstructed)</i>	<i>Sanskrit (Skr.)</i>	<i>Ancient Greek</i>	<i>Gothic, Old English (OE)</i>	<i>Modern High German</i>
*b <sup>h</sup> rātēr	b <sup>h</sup> rātar-	φράτηρ ( <sup>h</sup> pa:te:r)	brōþar ( <i>Gothic</i> ) brōþor ( <i>OE</i> )	Bruder
*pətér	pitár-	πατήρ (pa:te:r)	fadar ( <i>Gothic</i> ) fæder ( <i>OE</i> )	Vater

Intervocalic voiceless stops (here, /t/) in PIE, Sk. and Anc. Grk. correspond to voiceless fricatives (here, /θ/ (þ)) in Gothic and OE, by spirantization (part of the Germanic sound shift).

However, if the preceding vowel is not stressed in PIE, Skr. and Anc. Grk., voiceless plosives correspond to voiced plosives in Gothic and OE. This latter phenomenon constitutes ‘An Exception to the First Sound Shift’ (title of Verner’s 1876 article).

#### 4. Verner's law (1877:114):

Indogerm. *k, t, p* gingen erst überall in *h, þ, f* über; die so entstandenen tonlosen fricativae nebst der vom indogermanischen ererbten tonlosen fricativa *s* wurden weiter inlautend, bei tönender nachbarschaft selbst tönend, erhielten sich aber als tonlose im nachlaute betonter silben.

“IE *k, t, p* first shifted to *h, þ, f* in all environments; the voiceless fricatives thus originating, together with the voiceless fricative *s* inherited from Indo-European, then became voiced medially in voiced environments, but remained voiceless when they were the final sounds of accented syllables.”

(Transl. by Lehmann 1967)

Verner's law (1877):

The voiced fricatives resulting from act 1 + Verner's law coincided with the voiced fricatives resulting from act 2 (DH > \*Ǿ) and both groups became occlusivized by a stopping process.

General pattern: T > \*þ > \*Ǿ > D

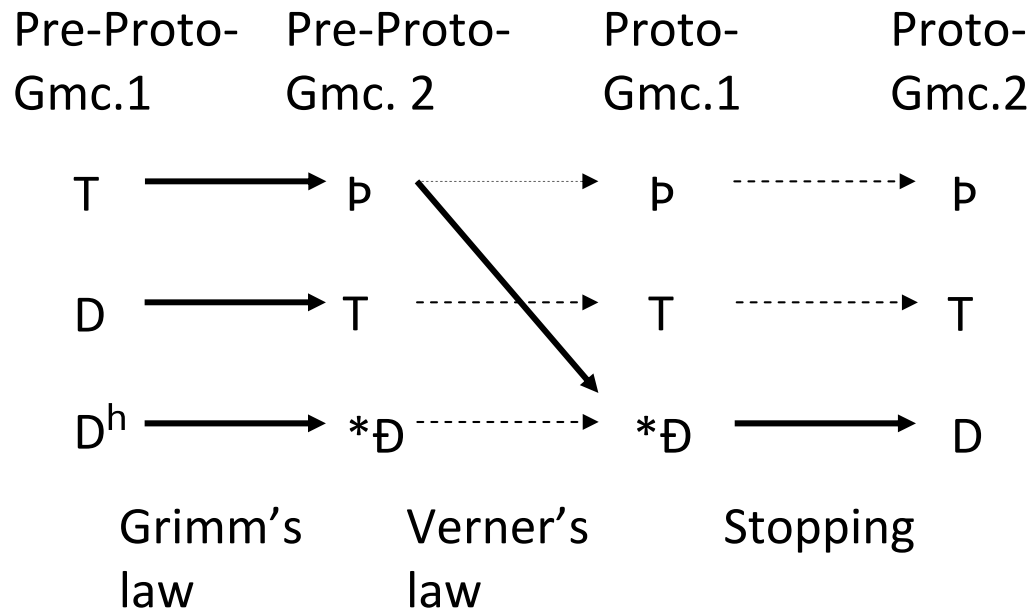
Example: \*pə'te:r (PIE) > \*faθar > \*faǾar > 'faɗar (Goth.), fæder (OE)

## Recap (II)

1. PIE obstruent system, classic view: T, D, D<sup>h</sup>, s
2. Grimm's law: T > p; D<sup>h</sup> > \*Ḍ > D; D > T
3. Verner's law: (T >) \*p > \*Ḍ > D, intervocalically after an unstressed vowel

### Recap (III)

Germanic sound shifts under the traditional view





## **Reconstruction**

- **Methods of reconstruction**

### **Comparative** reconstruction

Uses cognate sets to compare related languages

Goal is to reconstruct a shared ancestor — a protolanguage

### **Internal** reconstruction

Uses evidence from within one language

Goal is to reconstruct an older form of the language — a prelanguage

## Internal reconstruction

How to reconstruct a prelanguage?,

Dutch *vriezen, vroor, gevroren* 'geler, gelait, gelé'

(English *freeze, froze, frozen*

German *frieren, fror, gefroren)*

Question : what is the original segment: *r* or *z* ?

Hence is the evolution:  $z > r$  or  $r > z$  ?

If  $r > z$ , then *dieren* 'animals' > \*\*diezen

Hence, le original segment is : *z*

## Comparative reconstruction

Step 1: assemble potential cognate lists

Step 2: establish correspondence sets

Step 3: discover which sets are in complementary distribution

Step 4: reconstruct proto-phonemes

Step 5: examine the reconstructed system typologically

<b>Italian</b>	<b>Spanish</b>	<b>Portugese</b>	<b>French</b>
corpo	cuervo	corpo	corps
crudo	crudo	cru	cru
catena	cadena	cadeia	chaîne
cacciare	cazar	caçar	chasser

## Historical Linguistics

Among the aims of Historical Linguistics:

- to describe and account for observed changes in particular languages
- to reconstruct the pre-history of languages and determine their relatedness, grouping them into language families (comparative linguistics)
- to develop general theories about how and why language changes